



Instructions for Rollover Pre-Approval

TEAMSTER-UPS NATIONAL 401(K) TAX DEFERRED SAVINGS PLAN

You should use this form if you want to roll over an eligible amount from your former employer's IRC § 401(a), 401(k), account, or a conduit IRA to your current account with Prudential Retirement under the Teamster-UPS National 401(k) Tax Deferred Savings Plan. Please note you must be enrolled in the Teamster-UPS National 401(k) Tax Deferred Savings Plan in order to roll over these funds. Keep a copy of this form for your records.

In order to roll over funds into the Teamster-UPS National 401(k) Tax Deferred Savings Plan, you must take the following steps:

- A. Complete the attached Rollover Pre-Approval form.
- B. Provide Supporting Documentation from the prior plan or IRA that indicates the plan is either an IRC § 401(a), 401(k), or a conduit IRA.

Acceptable documentation may be one of the following:

- A copy of an account statement from the prior plan or carrier that includes the plan name and indicates the type of plan,
- A letter from the prior plan administrator stating the plan type and, if the plan is qualified, that it has a determination letter,
- An excerpt from the prior plan's Summary Plan Description that indicates the plan name and plan type.

Submit the completed form and documentation to: Prudential Retirement, Teamster-UPS National 401(k) Tax Deferred Savings Plan, PO Box 5640 Scranton PA 18505 or fax toll free to 1-866-439-8602.

Once received, it will be reviewed for approval. You will receive the approval letter indicating you can contact your prior distributing plan or conduit IRA to request the rollover check. You will also be notified if additional documentation is needed or if the form is not complete.

Note: We will not accept rollover checks until the pre-approval process is complete. Once pre-approval is complete and you receive your acceptance letter, all checks should be payable to (and should also include the last 4 digits of the individuals social security number) and mailed to the address below.

Teamster-UPS National 401(k) Tax Deferred Savings Plan
FBO (participant's name)
PO Box 5640
Scranton PA 18505

Should you have any questions regarding this process, please call 1-800-537-0189.



Rollover Pre-Approval

TEAMSTER-UPS NATIONAL 401(K) TAX DEFERRED SAVINGS PLAN

Please refer to the instructions page before completing this form.

Please print using blue or black ink. Keep a copy of this form for your records.

This completed form should be returned to Prudential Retirement, Teamster-UPS National 401(k) Tax Deferred Savings Plan, PO Box 5640 Scranton PA 18505 or fax toll free to 1-866-439-8602.

About You

Prudential Plan number

0 0 6 0 0 9

Sub plan number

0 0 0 0 0 1

Questions?

Call 1-800-537-0189 for assistance.

Social Security number

_____ - _____ - _____

Daytime telephone number

_____ - _____ - _____
area code

First name

MI

Last name

_____ | _____

Address

City

State

ZIP code

_____ - _____

Distributing Plan or Conduit IRA Information

Distributing plan or conduit IRA name

Distributing plan or conduit IRA address

City

State

ZIP code

_____ - _____

Daytime telephone number

_____ - _____ - _____
area code

Plan Sponsor (previous employer) name _____

Account Number

Please note that if your rollover funds are not received within 30 days of receipt of this form, Prudential Retirement may contact the distributing plan or conduit IRA on your behalf.

Investment Election

If you do not wish to complete this section and you are currently enrolled in the Plan, your rollover assets will be invested according to your current allocation election.

Percentage	Codes	Investment Options
_____ %	C9	GOVERNMENT STIF FUND
_____ %	B5	STABLE VALUE FUND
_____ %	B6	BOND MARKET INDEX FUND
_____ %	B7	BALANCED FUND
_____ %	B8	S&P 500 EQUITY INDEX FUND
_____ %	B9	S&P 400 MIDCAP INDEX
_____ %	BA	RUSSELL 2000 INDEX FUND
_____ %	BB	INTERNATIONAL INDEX FUND
_____ %	BC	REIT INDEX FUND
_____ %	C4	BRIGHT HORIZON 2010 FUND
_____ %	BE	BRIGHT HORIZON 2015 FUND
_____ %	C5	BRIGHT HORIZON 2020 FUND
_____ %	BF	BRIGHT HORIZON 2025 FUND
_____ %	C6	BRIGHT HORIZON 2030 FUND
_____ %	BG	BRIGHT HORIZON 2035 FUND
_____ %	C7	BRIGHT HORIZON 2040 FUND
_____ %	BH	BRIGHT HORIZON 2045 FUND
_____ %	C8	BRIGHT HORIZON 2050 FUND
_____ %	BI	BRIGHT HORIZON 2055 FUND
_____ %	BD	BRIGHT HORIZON INCOME FUND
1 0 0 %	Total	

Rollover Information

Note: Plan does not accept after-tax, Roth 401(k), nor any IRA (except conduit IRA) funds for rollover. Your rollover assets will be invested according to the allocations you have selected. Money cannot be transferred directly from the Stable Value Fund to the Government Short-Term Investment Fund, or the Self Managed Account.

What type of plan are you rolling FROM? 401(a) 401(k) Conduit IRA

Your Authorization

I, the Plan participant, certify that all information on this form is accurate. I also certify that this rollover contribution was distributed from a plan intended to satisfy the requirements of I.R.C. § 401(a), 401(k), or a conduit IRA and, which to the best of my knowledge, does satisfy them.

I certify that this distribution can be rolled over into my account with Prudential because it:

- 1) is not one of a series of substantially equal periodic payments (not less frequently than annually) distributed over my life or life expectancy (or the joint lives [or joint life expectancies] of me and my beneficiary) or over a period equal to or greater than 10 years,
- 2) was received by me not more than 60 days before the date of the rollover to the Plan,
- 3) would be includible in gross income if not rolled over in its entirety,
- 4) does not represent a Minimum Required Distribution, a hardship distribution, or a corrective distribution (for example: corrections of elective deferrals or elective contributions, etc.), and
- 5) was not distributed to me as a non-spousal beneficiary.

Prudential Retirement or the Plan will not accept responsibility for the actions of your distributing plan.

The Plan will assess a 2 percent short-term trading fee for money transferred out of the International Index Fund and/or paid as a distribution within 30 days of the investment in the Fund.

 X _____ Date _____

Participant's/Account Owner's signature

